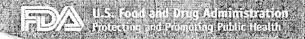




Development of Regenerative Medicine Products: FDA Perspectives

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Regulatory Framework: 3-Tiered System

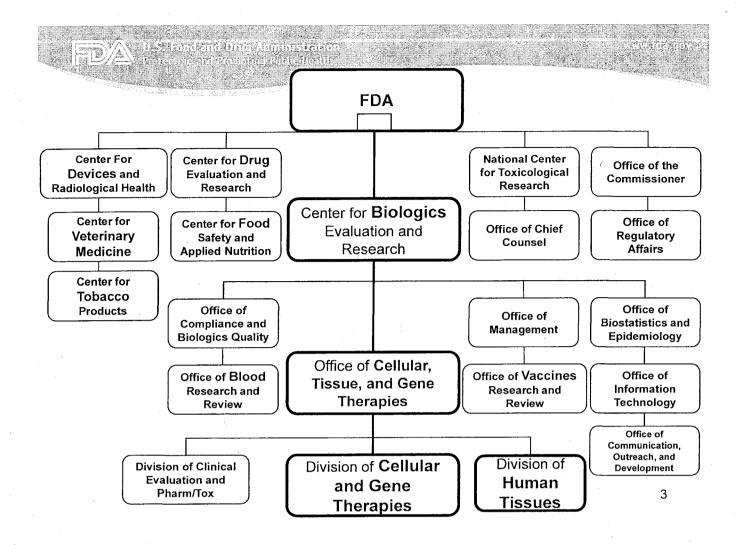
Statutes (Laws):

Passed by Congress and signed by the President

- Food, Drug & Cosmetic Act (FD&C Act)
- Public Health Service Act (PHS Act)
- Regulations (details of the law):

Written by FDA and approved by the Executive Branch

- 21 CFR (Code of Federal Regulations)
- Guidance (the FDA's interpretation of the Regulations):
 Written and approved within FDA
 - Advice non-binding on FDA or sponsor





What is and is not an HCT/P

Regulated as HCT/Ps

Musculoskeletal tissue

Skin

Ocular tissue

Human heart valves; vascular graft

Dura mater

Reproductive tissue/cells

Hematopoietic stem/progenitor cells; other cellular therapies

Combination products (e.g., cells or tissue + device)

Not regulated as HCT/P's

Vascularized human organs

Minimally manipulated unrelated donor bone marrow

Xenografts-separate regulatory pathway

Blood and blood products - separate regulatory pathway

Blood vessels recovered with organs and used for organ transplantation only

Autologous cells recovered and used in same surgical procedure

HCT/Ps – Two Regulatory Tiers

Risk determines the level of regulation:

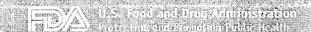
- Tissue ("361 HCT/P") *lower risk*
 - Section 361 of PHS Act
 - Premarket review and approval not required; Product regulated solely under Tissue Regulations to control communicable disease (21 CRF 1271)
 - The Establishment Registration, Donor Eligibility and Good Tissue Practice (GTP) final rules comprise 21 CFR Part 1271
- Therapeutic ("351 HCT/P") higher risk
 - Sections 351 & 361 of PHS Act, FD&C Act
 - Product regulated under Tissue Regulations and premarket review requirements (21 CFR Parts 1271, 600, 200, 312, 812)
 - Regulatory path: Biologic (IND/BLA) or Device (IDE/PMA)

FDA U.S. Food and Drug Administration Protecting and Promoting Public Health

COLUMN

Cellular Therapies

- Regulated as HCT/P and subject to 1271 regulations
- Regulated as drugs and biologics and subject to premarket review requirements
- Clinical trials require an Investigational New Drug Application (IND)
 - A formal document with defined structure and content
 - Purpose is to request exemption from premarketing requirements and to allow lawful shipment of drug for clinical investigation.
 - Regulations (21 CFR 312) outline requirements for:
 - Use of investigational drug
 - Submission of application to FDA
 - Review by FDA

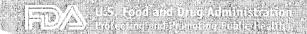


Regulation of Cell Therapies Under the 1271 Tissue Rules

HCT/P's regulated solely under section 361 of the PHS Act and 21 CFR Part 1271 ONLY IF ALL FOUR of the following are met:

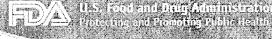
- Minimally Manipulated: Relevant biologic characteristic(s) are not altered by processing.
- Homologous Use Only: The HCT/P performs the same basic function in the recipient as in the donor.
- Production of the HCT/P does not involve combination of cells with another <u>article</u> (with limited exceptions and on the condition that addition of the excepted article does not raise new clinical safety concerns).
- Does not have a systemic effect, is not dependent upon the metabolic activity of living cells for primary function: exceptions for (a) autologous use, (b) first- or second-degree blood relatives, or (c) reproductive use.

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More than Minimal Manipulation

- Risk of adventitious virus introduction during manufacturing
 - Reagents
 - Operators
 - Environment
- Risk of alteration of biological properties
 - Manufacturing is a novel, non physiological microenvironment



Risk/Benefit Considerations

- Protect patients from unreasonable risk
- Case-by-case
 - Patient population
 - Age
 - Medical condition
 - Availability of other treatment
 - Previous experience with similar products
 - Clinical Trial Design
 - Preclinical Information
 - Product Characteristics and Characterization



Team Approach to Regulation of Regenerative Medicine Products

- **Review Team**
 - Product
 - Clinical
 - Pharm/Tox
 - Statistician
 - Regulatory Project Manager
 - Consult reviewer(s)
- CBER Research/Reviewer Model
 - Scientists/Clinicians: research-reviewers and full time review staff